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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/770,708	02/03/2004	Christian Gartner	100727-63/ Heracus 414	1315

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NORRIS, MCLAUGHLIN & MARCUS, PA  
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NEW YORK, NY 10022

EXAMINER
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WERNER, JONATHAN S

ART UNIT	PAPER NUMBER
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3732

MAIL DATE	DELIVERY MODE
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07/27/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

7

<b>Office Action Summary</b>	Application No. 10/770,708	Applicant(s) GARTNER ET AL.	
	Examiner Jonathan Werner	Art Unit 3732	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 14 May 2007.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 10-18 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 10-18 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### DETAILED ACTION

1. This action is in response to Applicant's amendment received on 5/14/07.

#### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

2. Claims 10-18 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. Claim 10 recites the step of "recording and digitizing 3-dimensional data records of fabricated teeth," however, Examiner cannot find support for this step in the originally filed disclosure. Instead, for the purpose of examination, Examiner will understand said step to mean scanning the teeth of a patient.

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

3. Claims 16-17 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Claim 16 recites the positioning template is milled or

rapid prototyped. However, in the event that step (j) is not carried out in favor of step (m) as claimed, claim 16 would be lacking proper antecedent basis since no positioning template would have been previously used. Likewise, the bite rims described in step (b) need not be recorded, hence creating a potential lack of antecedent basis for said rims in claim 17.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

4. Claims 10, 13 and 16-18 are rejected under 35 U.S.C. 102(e) as being anticipated by Thomas (US 7,153,135). Thomas discloses a method and corresponding system for carrying out said method of creating a dental prosthesis comprising scanning a patient's teeth (column 10, lines 10-14); recording and digitizing 3-D anatomical relationships in an oral cavity (i.e. step 20); and processing the data (i.e. digital map Q) received from the anatomical relationships in such a way that relevant anatomical structures for virtual placement of teeth (i.e. digital map M) are securely affixed so that a complete virtual model (i.e. merged image N) can be obtained for direct manufacture of a denture base according to the digital data (column 12, lines 1-3 and column 14, lines

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51-55). Thomas also discloses the step of simulating mandibular movements on a computer by providing various views (i.e. R) as a positioning aid (column 13, lines 45-49). Thomas additionally discloses the prosthesis can be rapid prototyped (column 10, lines 53-57). Examiner further notes that the scanning of the patient's oral cavity includes the entire cavity (column 13, lines 14-19), wherein occlusion rims and bite rims are held in the art as equivalent structures since occlusion is defined as the way the upper and lower teeth bite together.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Thomas in view of Baumrind (US 6,621,491). Thomas discloses a method of creating a dental prosthesis as previously described but fails to show that an oral situation is recorded directly using a 3-D camera. Baumrind, however, teaches a method for recording 3-D diagnostic data of an oral situation using a 3-D camera (30, Figure 1; col 3, ln 35-40 and 48-51). Therefore, it would have been obvious to one having ordinary skill in the art at the time of the applicant's invention to record an oral situation using a 3-D camera in order to provide a holistic view of the patient for treatment purposes as taught by Baumrind.

6. Claim 12 is rejected under 35 U.S.C. 103(a) as being unpatentable over Thomas in view of Chishti (US 5,975,893). Thomas discloses a method of creating a dental prosthesis as previously described but fails to show scanning a plaster model. Chishti, however, teaches scanning a plaster cast of teeth to obtain 3-D data (col 5, ln 38-48). Therefore, it would have been obvious to one having ordinary skill in the art at the time of applicant's invention to scan a plaster model so that the patient is not exposed to X-rays as taught by Chishti.

7. Claims 14-15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Thomas in view of Jordan et al. (US 6,152,731). Thomas discloses the method of creating a dental prosthesis as previously described but fails to show the step of inspecting function and occlusion on the computer. Jordan, however, teaches a method for creating a dental model whereby occlusion of a virtual model is inspected on the computer (col 23, ln 62-64). Therefore, it would have been obvious to one having ordinary skill in the art at the time of Applicant's invention to inspect function and occlusion of the digitized virtual model as taught by Jordan in order to test it to ensure it has been created properly and is in working order. As to claim 15, Jordan further discloses the placement of teeth is manually corrected and a new calculation is performed to adapt to the bite and occlusion data (col 21, ln 17-45).

***Response to Arguments***

8. Applicant's arguments with respect to claim 10 have been considered but are moot in view of the new ground(s) of rejection.

***Conclusion***

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

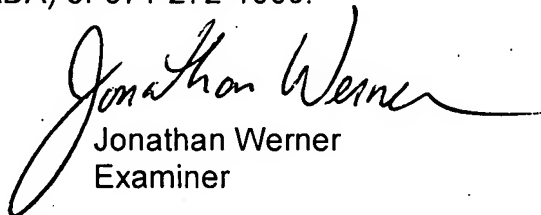
A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jonathan Werner whose telephone number is (571) 272-2767. The examiner can normally be reached on Monday-Friday.


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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cris Rodriguez can be reached on (571) 272-4964. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

  
Jonathan Werner  
Examiner

7/18/07

  
MELBA N. BUMGARNER  
PRIMARY EXAMINER